

# Potential Role of Cytokines and Vitamin D in Pathophysiology of Autism Spectrum Disorder

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**Abstract:** Autism spectrum disorder is a neurodevelopmental condition with a wide range of symptoms. An early stage begins before the age of 3. Autistic people have trouble socializing, communicating verbally and nonverbally, as well as having stereotypical interests and behaviors. Due to the increasing frequency of autism in children and the lack of effective treatments, it is one of the most challenging conditions to treat in psychology. Autism has a perplexing etiology. Immune regulation of neuronal proliferation, synapse formation, and plasticity are all enhanced by the chemosensory immune system. Immune responses in autistic people are aberrant, according to hundreds of studies conducted over the past four decades. Vitamin D deficiency, as well as cytokine profile and signaling abnormalities, have become increasingly prevalent in recent years and have been the focus of continuing research in the field of autism spectrum disorder. Numerous studies indicate that vitamin D plays a significant role as a neuroactive steroid that can influence neuronal development, axonal connections, and brain structure and function. In addition, vitamin D insufficiency during pregnancy is associated with a number of negative effects on the fetus. Immune abnormalities have previously been identified, and the role of cytokines in these abnormalities is highlighted in this review, which aims to determine whether cytokines are useful as potential biomarkers that may participate in the development of disease. The purpose of this review is to outline the function of the immune system and to examine the relationship between the immune biomarkers, cytokines with as emphasis on the role of vitamin D as an immune regulator.

**Keywords:** Autism spectrum disorder, autism severity, pathogenesis, vitamin D, immune abnormality, neurodevelopmental disorder, cytokines.

## Introduction:

A series of neurodevelopmental problems known as autism spectrum disorders are characterized by difficulties in social communication, restricted and repetitive patterns of behavior, and selective attention (1,2). Leo Kanner used the term "autism" in 1943 to describe a condition that impairs the social and emotional development of young children. ASD affects 1 in 160 children globally, according to the World Health Organization (3,4). Autism is generally acknowledged as a "spectrum" disorder that can manifest in a variety of psychological, neurological, and cognitive abnormalities (5,6). Some evidence indicates that environmental and genetic factors may contribute to the etiology of ASD. However, the molecular mechanisms behind these conditions are not well known, and there are no specific treatments (7).

Young brains are far more metabolically active than adult brains and account for approximately 60 % of the body's overall energy usage (8). Early healthy nutrition is essential for neurodevelopment (9,10). During crucial periods, shortages in proteins, fatty acids, various types of minerals, and vitamins (including vitamins A, D, B6, B9, and B12) may have a negative effect on brain function owing to the role that these nutrients play in signaling cascades that alter neuronal functional ability (8, 9, 11). On the basis of epidemiological studies, it has been postulated that vitamin D deficiency enhances the incidence of ASD (12). The relationship between vitamin D and autism emerged when evidence of a greater prevalence of autism in children living in areas with fewer ultraviolet-B rays than those living in sunny areas increased (12).

Vitamin D is converted to its active form, 1,25(OH)<sub>2</sub>D (calcitriol), by two hydroxylation mechanisms in the liver and kidneys (13). In the early stages of brain development and growth, calcitriol acts as a

neuroactive hormone that regulates a number of different processes (9, 12, 14). Neurotransmission and proliferation of brain cells are two ways in which vitamin D is thought to affect neurodevelopment (14, 15). In addition, vitamin D is crucial for the regulation of the inflammatory system because it controls the creation of immune cells and inflammatory cytokines, both of which are necessary for the pathogenesis of many immune-related problems (16). Strong inflammatory states are linked to ASD due to the connection between high inflammatory cytokines and cognitive impairment (12). Compared to healthy children, people with ASD had much higher levels of the cytokines interleukin (IL)-1, IL-6, IL-8, interferon-gamma (IFN- $\gamma$ ), and monocyte chemoattractant-1 proteins (MCP-1) and much lower levels of the cytokine transforming growth factor-1 (17).

The history of autism is exceedingly complex. It has altered drastically during the past few years. The term "autism" was coined by Swiss-German psychiatrist Eugen Bleuler in 1908 to describe children with signs of severe schizophrenia and unconscious imagination. Since then, the diagnosis, classification, and meaning of autism have all changed dramatically (18). In the 1940s, Leo Kanner and Hans Asperger coined the term "autistic" to describe children with the specific features. Leo Kanner, a psychiatrist at Johns Hopkins University, proposed the word "autism" in 1943 when he diagnosed autistic as a separate neurological disease with no known etiology. Around this time, Kanner coined the term "early infantile autism" and afterwards referred to Kanner syndrome (19). Hans Asperger and Kanner exhibited comparable impairments in communication, social interaction, and a wide variety of symptoms. In 1981, the term "Asperger's syndrome" was made public, referring to a disease previously defined by Hans Asperger (20).

Clinically, autism spectrum disorder is diagnosed based on the presence of several essential characteristics, including delayed social development, repetitive behaviors and interests, delayed speech development, and learning impairment. They typically do not initiate interactions with their surroundings, especially social ones. They often have trouble understanding information and coordinating their activities, and they may have ritualized or rigid ways of acting (21).

Since the first autism survey conducted in England by Lotter in 1966, the quantity and sophistication of epidemiological surveys have expanded (22). During the previous two decades, the prevalence of ASD has grown rapidly. In 2000, the Centers for Disease Control and Prevention (CDC) projected that one in every 150 children had ASD. The frequency of ASD increased from 1 in 110 children in 2006 to 1 in 88 children in 2008. In 2012, the Autism and Developmental Disabilities Monitoring Network revised its ASD prevalence estimates to one in every 68 children. (23).

In 2020, CDC stated that around one in every 54 children in the United States had been diagnosed with an ASD (3). ASDs may significantly limit the capacity of an individual to conduct daily activities and participate in society. This ratio is thought to be the same across all racial, ethnic, and socioeconomic backgrounds, although gender variations exist. The prevalence of ASD appears to be four to five times higher in boys than in girls (23). The steady increase in the prevalence of autism ASD may have been contributed to in part by more careful screening for disease in children and adults, as well as improved diagnostic criteria and more accurate behavioral and neuropsychological scales to assess the behavior and symptoms associated with ASD. According to research conducted between 2009 and 2017, approximately 17% of children aged three to seventeen have been diagnosed with a developmental impairment such as autism or attention deficit disorder (24).

Because of changes in diagnostic criteria, the results of European studies have fluctuated throughout time. Studies on this topic from the Middle East are notably scarce. Hussein et al. (2011) discovered that publications on child psychiatry, particularly on topics such as autism, were under-represented in the Arab world (25) According to Hussein and Taha (2013), ASD is not yet a priority in Arab countries, neither in terms of research nor of services. This could be due to the fact that child psychiatry is still relatively new in these cultures (26).

### **Material and methods:**

To conduct this research, relevant articles were looked for in the scientific databases Scopus, Google Scholar, PubMed, and Web of Science using keywords like "Autism, vitamin D, immunoregulatory, Kanner's syndrome, Autistic disease, cytokines."

## **Etiology of Autism Spectrum Disorder:**

The etiology of ASD has been a topic of discussion for many years . But it's still unclear what causes autism (27, 28). According to studies, genetic and/or environmental factors may contribute to ASD development (29, 30, 31).

### **Genetic Factor**

Autistic spectrum disorder is considered a complex genetic disorder with high inheritance. ASD has a high genetic basis, according to epidemiological twin studies. Fraternal twin concordance is less than 10%, while that for identical twins is between 70–90% (32, 33). There is evidence of family clustering in families where one or more members already have an ASD diagnosis. There is an elevated risk of ASD in younger male siblings of family members with an ASD diagnosis (34, 35). First-degree relatives of ASD carriers have also shown a significant increase in the occurrence of ASD (33). Offspring of parents with ASD or those with a history of psychiatric issues have a much increased risk of developing ASD. After chromosomal and rare syndromes co-occurred with autism in the 1980s, it became obvious that genetic factors play a significant role (36).

Selective candidate gene analysis and whole exome sequencing (WES) are the most extensively used methods for identifying ASD susceptibility genes (37). WES has proven to be a useful tool in the detection of rare or novel genetic abnormalities in a wide range of disorders (38). In spite of the fact that genetic variables have an important etiological role in ASD, empirical research has been unable to convincingly identify particular genes that cause ASD. As a result, more research is needed to study genetic differences.

### **Environmental Factors**

Non-genetic risk factors for autism spectrum disorder may include parental age, maternal nutritional and metabolic state, infection during pregnancy, prenatal stress, and exposure to particular chemicals, heavy metals, or medicines. The age of the parents may have contributed to genetic mutations. Increasing paternal age has been demonstrated to raise the likelihood of ASD in children (39). Nonetheless, some research has refuted this hypothesis (40). Trace metal dyshomeostasis has also been demonstrated to influence brain development and is associated with autism (41). Environmental exposure may have a significant impact on brain development and neurological processes, including cell differentiation, synaptogenesis, and axon myelination (42). ASD has been linked to the accumulation of hazardous metals such as mercury and lead, as well as a lack of the necessary metal zinc during pregnancy, according to epidemiological and animal studies (43).

Maternal infection is an additional non-genetic risk factor for ASD. As a result of the relationship between congenital rubella infections and the development of ASD, the role of immune activation and infections has been continuously evaluated (44). Maternal medication use during pregnancy, particularly antidepressant and antiepileptic drugs, has been linked to an increased incidence of ASD (45). Additionally, a lack of vitamin D during the initial stages of the prenatal period may be linked to an increased risk of autism (46).

### **Vitamin D's role in Autism Spectrum Disorder:**

Due to vitamin D's active role in fetal neurodevelopment, it may influence the progression of neurodevelopmental diseases, including ASD (47). According to Kittana and his colleagues, the considerable reductions in the ASD severity measures give evidence for the significance of vitamin D in lowering the symptoms of disease.

Children with ASD are frequently observed to have vitamin D deficiency and low serotonin levels in the brain (48). Serotonin levels are linked to the regulation of brain activities such as neurogenesis (49). Both experimental tryptophan and serotonin deprivation reveal autistic-like behavioral traits (48). Insufficiency in vitamin D decreases serotonin levels in the brain by inhibiting the synthesis of tryptophan hydroxylase 2 (TPH2), thus affecting the structure and neuronal wiring of the brain. Individuals with ASD may have a serotonin anomaly, which is defined by high serotonin concentrations in peripheral blood cells, potentially owing to increased gut serotonin synthesis, but minor concentrations in the brain (48).

Seyedi et al. (2019) suggest that vitamin D increases TPH2 gene expression (50) and, consequently, serotonin synthesis in the brain, which can positively influence social behavior and emotional social cues.

Serotonin deficiency in newborn mice was associated with autism-like behaviors (48, 51). Vitamin D is also important in increasing glutathione peroxidase 1 (GP1) levels, hence lowering oxidative stress (47, 52). Glutathione redox imbalance promotes ASD because it enhances the expression of pro-inflammatory cytokines and has a substantial effect on neuroinflammation (53). Vitamin D is also found to enhance the seizure threshold, thus aiding in the prevention and management of seizures in children (54, 55, 56). According to a study by Malik et al. (2011), increased vitamin D levels may alleviate the symptoms of established autism (57). Due to the fact that activated vitamin D up-regulates DNA-repair genes, vitamin D deficiency during development may impede the repair of DNA mutations in fetuses and newborns, hence increasing the risk of autism. Vitamin D's anti-inflammatory properties may also lessen the likelihood or severity of autism (55, 58).

According to Guillot and colleagues, vitamin D acts as an immunomodulator that decreases inflammation while increasing protective immune responses (59). Direct and indirect anti-inflammatory actions involving both the innate and adaptive immune systems have been observed in animal studies. Vitamin D controls several immune cells, including monocytes, macrophages, dendritic cells, T lymphocytes, and B lymphocytes (60). T-regulatory cells inhibit the responses of other immune cells so that the body does not attack its own tissues. These regulatory T cells, also known as suppressor T cells, are a subpopulation of T cells that suppress the immune system, preserve self-tolerance, and are linked to a lower risk of autoimmune disorders (61). This immunomodulatory impact of vitamin D mediated by T cells may account for the link between vitamin D deficiency and autoimmune disorders (62). Some authors believe that vitamin D may effectively treat certain autoimmune diseases by influencing Tregs and thereby increasing the body's self-tolerance (63,64).

Vitamin D is a fat-soluble vitamin that promotes bone growth and mineralization by facilitating calcium absorption. It also regulates cell differentiation, cell maturation, and the innate immune system as an autocrine and paracrine agent (65, 66). Vitamin D is a group of secosteroids, which are steroid molecules with broken links in steroid rings (67, 68). Vitamin D was first identified as an antirachitic agent between 1919 and 1924. (69). It enters the circulation of the human body through the diet or is generated in the skin from sunlight (68). Vitamin D comes in two primary forms, known collectively as calciferol: Vitamin D2 (ergocalciferol) and Vitamin D3 (cholecalciferol). The presence of a double bond between C22 and C23, as well as a methyl group on C24 on its side chain, distinguishes vitamin D2 from vitamin D3 (70). Most people wrongly believe that vitamin D is similar to other vitamins, i.e., that appropriate levels may be obtained by eating a healthy diet (68). Oily fish like salmon, mackerel, and sardines, as well as fortified foods like cow milk, soy milk, and orange juice, are the primary sources of vitamin D in the usual human diet (70). There was a trend toward significantly better outcomes regarding ASD severity as measured by vitamin D supplementation. This shows that vitamin D may be important, especially in places where the population doesn't get enough of it (47).

### **Immunology of Autism Spectrum Disorder:**

There are two types of immunity: adaptive immunity and innate immunity. According to growing evidence, the immune system plays a significant role in the development of ASD (71). B and T cells, for example, are adaptive immune cells that may induce antigen-specific responses and create immunological memory in the Central Nervous System (CNS) (72). Increased lymphocytes and immunological dysregulation in autistic people's brains may cause astrocyte destruction, compromising the CSF-brain barrier and leading to the development of ASD (73). Microglia-induced abnormal synaptic pruning which impair synaptic plasticity and abnormal series of inflammatory processes including cytokines all contribute to autism (74,75). Evidence of a pathophysiological connection between the immune system and ASD was first described more than 40 years ago (76,77). There are several possible connections and related processes according to subsequent studies on the complex relationship between the immune system and ASD symptoms (78, 79).

### **Immune Response Abnormalities in Autism Disorder:**

#### **Innate Immune Response**



One of the most significant advances in ASD research in the last ten years has been evidence that active neuroinflammation, including chronically activated microglia, is a substantial component of ASD (80). Microglia infiltrate the brain during the earliest stage of embryo and serve critical functions in neurodevelopment, including angiogenesis, synaptic pruning and astrocytic differentiation from neuronal precursor cells (81,82,83,84). The presence of proinflammatory chemokines like MCP-1 in CSF fluid, which is produced by activated microglia, has also been associated with microglia activation in autistic individuals (85). Furthermore, some postmortem investigations have revealed that the structural and morphological properties of microglia in the brains of people with ASD are aberrant (86). Abnormal innate immune responses in ASD patients are not restricted to the brain and CNS; alterations in circulating monocytes, dendritic cells, and NK cells have also been discovered (figure 1). (87). Natural killer cells are essential in the early response of the innate immune system. They specifically attack virally infected cells and play crucial role in tumor monitoring and fetal protection during pregnancy, they can set off a chain reaction of immunological responses as early responders (88). There is a significant amount of evidence that points to the role of NK cells in autism that has a genetic foundation (89). It was shown that people with autism did not have a decrease in their total NK count, which was also found to be the case in patients with schizophrenia (79, 90), implying that the cells themselves are dysfunctional. Warren et al. (1987) discovered decreased NK cell cytotoxicity in autistic patients (91). This deficit in NK cell function has also been seen in autistic people by Enstrom (92). Progenitor cells called monocytes circulate in the blood and differentiate into macrophages upon leaving the blood and entering other body tissues. When triggered by IFN- $\gamma$ , monocytes and macrophages create more neopterin. Neopterin levels beyond a certain threshold indicate monocyte/macrophage activation and a pro-inflammatory immunological state. Increased monocyte and neopterin levels were seen in autistic children when compared to gender and age-matched healthy controls, indicating that the immune system in ASD children is over-activated (93). Monocytes and macrophages have a wide range of immunomodulatory, inflammatory, and tissue-repairing properties, and they play an active role in the development of many autoimmune disorders (94). These cells have the ability to secrete a variety of cytokines and chemokines that stimulate and recruit extra immune cells to diseased tissue (95,96).

### **Adaptive Immune Response**

The primary components of the adaptive immune system are soluble antibodies and cytokines. Antibodies are glycoproteins generated by memory B cells, which are called plasma cells. Both T cells and B cells, which make up the second arm of the adaptive immune system, have been observed to be changed in autistic individuals. As early as 1986, lower T lymphocyte counts and an altered ratio of helper T cells to suppressor T cells were found (97). Additionally, the presence of many autoantibodies in autism offers indirect evidence that B cells play a role in autism (98).

Plasma IgG and IgM levels were shown to be lower in early children with autism, according to a research that was conducted by Heuer et al. (2008) and included more than 250 children (99). Although there seems to be some inconsistency in studies that reveal increased or reduced IgG levels in children with autism, the age of the children is most likely a contributing factor, as IgG levels are known to change dramatically and fast over the first decade of life (99, 100,101)

Atypical cellular immunity may be indicated by changes in cytokine concentrations or production. The levels of Th2 and Th1 T-cell cytokines were considerably greater in the autistic group in a research comparing their production in peripheral blood mononuclear cells (102). Some pro-inflammatory cytokines, such as Th1 cytokines, have been linked to the severity of clinical behavioral outcomes, such as aberrant behaviors and poor communication, in children with ASD (103).

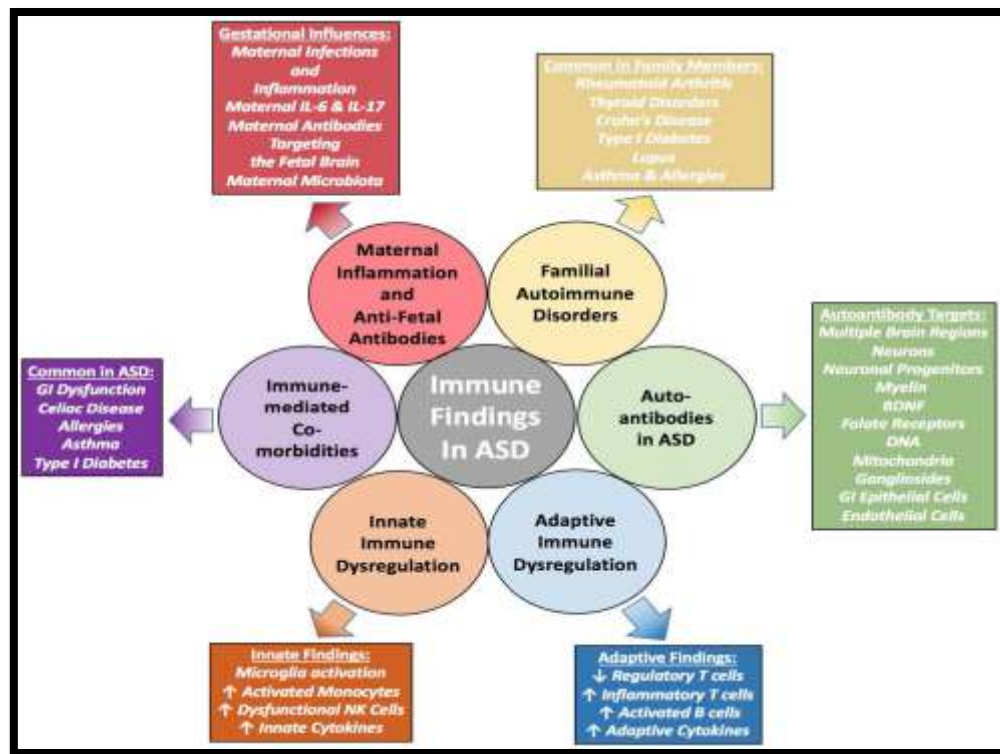


Figure 1: .Innate/Adaptive Immune dysregulation (Hughes et al., 2018)

### Role of Cytokines in Autism Spectrum Disorder:

Although it is widely accepted that cytokine mediators are components of the disturbed immunological milieu found in autism, there is significant disagreement over how such changes manifest, especially whether the pro-inflammatory or anti-inflammatory cellular response predominates. Several researches have proven a relationship between levels of cytokines and autistic behavior development (104,105). This is attributable to pro-inflammatory and anti-inflammatory cytokines' ability to interact with immune cells as well as neuroendocrine system messengers, which primarily includes neurotransmitters and hormones (106). These cytokines have been demonstrated to interfere with the activity of serotonin transporters as well as the diurnal secretion of hypothalamic–pituitary–adrenal (HPA) axis hormones (107,108). As a result, it is not surprising that, over the last decade, cytokine levels have been increasingly related to various neuropsychiatric disorders, most notably depression, schizophrenia, and autism (106,109,110,111). The activation of astroglia and microglia cells, as well as elevated proinflammatory cytokine levels throughout life, implies a neuroinflammatory phenotype in ASD, which may play a crucial role in the development of ASD behavior (112). ASD has been linked to a number of inflammatory cytokines and immunological markers that indicate immune dysfunction (113).

### Vitamin D's therapeutic effects on cytokine modulation and autism spectrum disorder

Pro-inflammatory cytokines such IL-6, and IFN- $\gamma$  were reported to be more abundant in children with ASD. Increases in these levels are connected to cognitive impairment in autism (114). It is believed that vitamin D metabolites promote the production of anti-inflammatory cytokines and produce a more tolerogenic state in dendritic cells (115). Anti-inflammatory cytokines decrease the action of pro-inflammatory cytokines, which is seen in autism. Vitamin D preserves brain tissue by decreasing the synthesis of inflammatory cytokines (116).

Numerous studies have demonstrated that taking vitamin D supplements helps by improving ASD symptoms. A 3-year-old child who took 400 IU of vitamin D daily for two months shows a significant improvement in the main symptoms of ASD as well as a study conducted by Azzam revealed that ASD children's Intelligence quotient were better after 3 month of vitamin D treatment (117,118). A recent clinical trial indicated that daily high-dose of vitamin D (300 U/kg/d) supplementation improved ASD children's CARS score, stereotypes, eye contact, and attention (119,120).

## Conclusion:

The increased prevalence of ASD has made it a social concern requiring immediate solutions, yet its source remains unknown. The focus of researchers on the discovery of relevant biological measurements is largely due to the ASD's distinctive heterogeneity. It is vital to examine the etiology and pathophysiology of ASD from a different angle in order to generate novel treatment strategies for ASD. Previously studied cytokine abnormalities in ASD have drawn attention to a potential connection between immune dysregulation and ASD. Because vitamin D plays an active role in fetal neurodevelopment, low vitamin D levels in gestation, postnatal, and in early infancy have been proposed as a risk factor for neurodevelopmental disorders, including ASD. The findings from the reviewed experimental investigations support the importance of vitamin D in lowering ASD as well as indicate substantial reductions in ASD severity measures by modulating and affecting the immune system and cytokines. Alterations in cytokine levels could make it easier to diagnose ASD and might offer biological markers that make it easier to track the success of active therapies during clinical trials, which can help us find more specific treatments for autism-related symptoms. If future research makes the link between vitamin D and ASD clearer, a simple, cheap, and safe new way to prevent and treat ASD would become available.

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